Attorney Docket No.: 020144-001960US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Peter Wagner

Application No.: 09/966,571

Filed: September 26, 2001

For: ARRAYS DEVICES AND METHODS OF USE THEREOF

Examiner: Nelson C. Yang

Art Unit: 1641

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08 are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of references AA-CI, CV-DM and EA-GF can be found in Application No. 09/115,455, filed July 14, 1998 now US Patent 6,406,921 B1 issued June 18, 2002 (Attorney Docket No. 020144-001900US). Copies of references CJ-CU, DN-DZ and GG are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick R. Jewik Reg. No. 40,456

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PRJ/llc

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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE **STATEMENT BY APPLICANT**

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of Sheet

	Complete if Known
Application Number	09/966,571
Filing Date	September 26, 2001
First Named Inventor	Wagner, Peter
Art Unit	1641 ·
Examiner Name	Nelson C. Yang
Attorney Docket Number	020144-001960US

-			U.S. PATENT DO	CUMENTS+	
		Document Number			
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-4,071,409	01-31-1978	Messing et al.	
	AB	US-4,562,157	12-31-1985	Lowe et al.	
	AC	US-4,690,715	09-01-1987	Allara et al.	
_	AD	US-4,722,896	02-02-1988	Kadish et al.	
	AE	US-4,908,112	03-13-1990	Pace	
	AF	US-4,973,493	11-27-1990	Guire	
	AG	US-4,987,032	01-22-1991	Tsutomu et al.	
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-	AQ	US-5,304,487	04-19-1994	- Wilding et al.	
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	BG	US-5,593,838	01-14-1997	Zanzucchi et al.	
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·	BI	US-5,605,662	02-25-1997	Heller et al.	
	BJ	US-5,620,850	04-15-1997	Bamdad et al.	
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	BL.	US-5,624,711	04-29-1997	Sundberg et al.	
	BM	US-5,629,213	05-13-1997	Kornguth et al.	

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08 (08-03)

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Sheet

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Application Number	09/966,571
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			U.S. PATENT DO	OCUMENTS+	
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials*			06-03-1997	Wilding et al.	Figures Appear
	BN	US-5,635,358	06-03-1997		
	BO	US-5,637,469		Wilding et al. Winkler et al.	
_	BP	US-5,677,195	10-14-1997		
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	CQ	US-6,475,809 B1	11-05-2002	Wagner et al.	
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PTO/SB/08 (08-03)

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Complete if Kn wn 09/966,571 Application Number September 26, 2001 Filing Date Wagner, Peter First Named Inventor 1641 Art Unit Nelson C. Yang Examiner Name 020144-001960US Attorney Docket Number

(use as many sheets as necessary)

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		Co-	oion Patent Do	rument			Pages, Columns, Lines,	
Examiner Initials*	Cite No. ¹	Country Code ³	eign Patent Doo Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T ⁶
	CV	wo	93/21528		10-28-1993	Lang, et al.		
<u></u>	CW	wo	98/50773		11-12-1998	Univ. Minnesota		
	СХ	wo	95/35505		12-28-1995	Brown, et al.		
_	CY	wo	96/02830		02-01-1996	Sartore, et al.		
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	DK	wo	00/52209		09-08-2000	Fantl, et al.		
	DL	wo	00/53625	<u> </u>	09-14-2000	Montgomery, et al.		
	DM	wo	00/54046	<u> </u>	09-14-2000	Ge		
				<u>-</u>				
	DN	DE	3939973		06-06-1991	Ahlers, et al.		
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	DU	wo	91/16425		10-31-1991	Signist, et al.		
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	DX	wo	97/33737	· ·	09-18-1997	Whitesides, et al.		
	DY	wo	98/39481		09-11-1998	Anderson, et al.		\Box
	DZ	wo	98/43086		10-01-1998	Everhart, et al.		

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Art Unit Examiner Name

Attorney Docket Number

Application Number 09/966,571 September 26, 2001 Filing Date First Named Inventor Wagner, Peter 1641 Nelson C. Yang

Complete if Known

020144-001960US

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<u>-</u> -	<u></u>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	
Examiner Initials *	Cite No. ¹	(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	EA	Becker et al. "Fabrication of microstructures with high aspect ratios and great structural heights by synchrotron radiation lithography, galvanoforming, and plastic moulding (LIGA process)" Microelectronic Engineering 4:35-56 (1986).	
	EB	Becker et al. "Production of separation -nozzle systems for uranium enrichment by a combination of x-ray lithography and galvanoplastics" Naturwissenschaften 69:520-523 (1982).	
	EC	Cha et al. Expression of fused protein, human interleukin-2 and green fluorescent protein, in insect larvae. Annual Meeting of The American Institute of Chemical Engineers, Los Angeles, CA, Nov. 1997.*	
	ED	Cload et al., "Development of improved tRNAs for in vitro biosynthesis of proteins containing unnatural amino acids," Chemistry and Biology, 3:1033-1038 (1996).	
	EE	Colliod et al. "Oriented and covalent immobilization of target molecules to solid supports" Synthesis and application of a light-activatable and thiol-reactive cross-linking reagent Bioconjugate Chem. 4:528-536 (1993).	
	EF	Condra et al. "In vivo emergence of HIV-1 variants resistant to multiple protease inhibitors" Nature 374:569-571 (1995).	
	EG	Dammer et al., "Specific antigen/antibody interactions measured by force microscopy," Biophysical Journal, 70:2437-2441 (1996).	
	EH	Dawson et al., "Peptide-derived self-assembled monolayers: adsorption of N-stearoyl I-Cysteine methyl ester on gold," Journal of Molecular Recognition, 10:18-25 (1997).	
	EI	Duschl et al., "Surface engineering: optimization of antigen presentation in self-assembled monolayers," Biophysical Journal, 70:1985-1995 (1996).	
	EJ	Dzgoev et al "Microformat imaging ELISA for pesticide determination" Anal. Chem. 68(19):3364 (1996).	
	EK	Ekins "Ligand assays" from electrophoresis to miniaturized microarrays Clin. Chem. 44(9):2015-2030 (1998).	
·	EL	Elkins et al. "Multianalyte microspote immunoassay-microanalytical "compact disk" of the future" Clin. Chem. 37(11):1955-1967 (1991).	
	ЕМ	Ellman et al., "Biosynthetic method for introducing unnatural amino acids site-specifically into proteins," Methods in Enzymology, 202:301-337 (1991).	
	EN	Geohegan et al. "Fluorescence-based continuous assay for the aspartyl protease of human immunodeficiency virus- 1" FEBS 262:119-122 (1990).	
	EO	Hegner et al., "Immobilizing DNA on gold via thiol modification for atomic force microscopy imaging in buffer solutions," FEBS, 336(3):452-456 (1993).	
	EP	Hegner et al., "Modified DNA immobilized on bioreactive self-assembled monolayer on gold for dynamic force microscopy imaging in aqueous buffer solution," J. Vac. Sci. Technol. B, 14(2):1418-1421 (1996).	

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		NON PATENT LITERATURE DOCUMENTS	
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	EQ	Hegner et al., "Ultralarge atomically flat template-stripped Au surfaces for scanning probe microscopy," Surface Science, 291:39-46 (1993).	
	ER	Ho et al. "Characterization of human immunodeficiency virus type 1 variants that encode viral proteases with decreased sensitifity to an inhibitor of the viral protease" Journal of Virology 68:2016-2020 (1994).	
	ES	Hochuli et al., "Genetic approach to facilitate purification of recombinant proteins with a novel metal chelate adsorbent," Biotechnology, 6:1321-1325 (1988).	
	ET	Jacobson et al. "Fused quartz substrates for microship electrophoresis" Anal. Chem. 67:2059-2063.	
	EU	Jones et al. "Microminizaturized immunoassays using atomic force microscopy and compositionally patterned antigen arrays" Anal. Chem. 70(7):1223-1241 (1998).	
	EV	Kaplan et al. "Selection of multiple human immunodeficiency virus type 1 variants that encode viral proteases with decresed sensitivity to an inhibitor of the viral inhibitor" Proc. Natl. Acad. Sci. USA 91:5597-5601 (1994).	
	EW	Kemeny. Enzyme-linked immunoassays. In Immuno-Chemistry 1 (eds Johnstone and Turner). pp. 147-175, Nov. 1997.*	
	EX	Korant et al. "The HIV protease and therapies for aids" Adv. in Experimental Med. and Biol 421:279-284 (1997).	
•••	EY	Kricka "Miniaturization of analytical systems" Clin. Chem. 44(9):2008-2014 (1998).	
	EZ	Lemmo et al., "Characterization of inkjet chemical microdispenser for combinatorial library synthesis," Anal. Chem., 69:543-551 (1997).	
	FA	Linford et al., "Alkyl monolayers on silicon prepared from 1-alkenes and hydrogen-terminated silicon," J. Am. Chem. Soc., 117:3145-3155 ((1995).	
	FB	Loeb et al. "Complete mutegenesis of the HIV-1 protease" Nature 340:397-400 (1989).	1
<u>. </u>	FC	Louis et al. "Autoprocessing of the HIV-1 protease using purified wild-type and mutated fusion proteins expressed at high levels in Eschericia coli" Eur. J. Biochem. 199:361-369 (1991).	
	FD	Marks et al. "By-passing immunication- Human antibodies from V-gene libraries displayed on phage" J. Mol. Biol. 222:581-597 (1991).	
. 2000	FE	Martynova et al. "Fabricating of plastic microfluid channels by imprinting methods" Anal. Chem. 69:4783-47-89 (1997).	
	FF	Mauracher et al. Reduction of rubella ELISA background using heat denatured sample buffer. J. Imminol. Methods. 145:251-254, 1991.*	-

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Signature	Considered

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	FG	Moore et al. "Peptide substrates and indibitors of HIV-1 protease" Biochem. Biophys. Res. Com. 159:420-425 (1989).	
	FH	Mrksich et al., "Controlling cell attachment on contoured surfaces with self-assembled monolayers of alkanethiolates on gold," Proc. Natl. Acad. Sci. USA, 93:10775-10778 (1996).	
	FI	Nock et al. (1997). Reversible, site specific immobilization of polyarginine-taggd fusion protein on mica surfaces. FEBS Letters. 414:233-238.	
	FJ	Noren et al., "A general method for site-specific incorporation of unnatural amino acids into proteins," Science, 244:182-188 ((1989).	
	FK	Pale-Grosdemange et al. (1991). Formation of self-assembled monolayers by chemisorption of derivatives of oligo(ethylene glycol) of structure HS(CH2)11(OCH2CH2)mOH on gold. J. Am. Chem. Soc. 113(1):12-20.*	
	FL	Prime et al., "Self-assembled organic monolayers: model systems for studying absorption of proteins at surfaces," Science, 252:1164-1167 (1991).	
	FM	Roberts et al. "Rationale design of peptide-based HIV proteinase inhibitors" Science 248:358-361 (1990).	
	FN	Rowe et al. "Array biosensor for simultaneous identification of bacterial, viral and protein analytes" Anal. Chem. 71(17):3846-3852 (1999).	
	FO	Schock et al. "Mutaional anatomy of an HIV-1 protease variant conferring cross-resistance to protease inhibitors in clinical trials" J. Biol. Chem. 271:31957-31963 (1996).	
	FP	Sigal et al. "A self-assembled monolayer for the binding and study of histidine-tagged proteins by surface plasmon resonance" Anal. Chem 68:490-497 (1996).	
	FQ	Silzel et al. "Mass-sensing, multianalyte microarray immunoassay with imaging detection" Clin. Chem. 44(9):2036-2043 (1998).	
-	FR	Singhvi et al., "Engineering cell shape and function," Science, 264:696-698 (1994).	
	FS	Skalka: Retroviral proteases: first glimpses at the anatomy of a processing machine Cell 56:911-913 (1989).	
	FT	Stennicke et al., "Biochemical characteristics of caspases-3, -6, -7, and -8," The Journal of Biological Chemistry, 272:25719-25723 (1997).	
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	FV	Talanian et al., "Substrate specificities of caspase family proteases," The Journal of Biological Chemistry, 272:9677-9682 (1997).	

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Signature	Considered	

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NON PATENT LITERATURE DOCUMENTS				
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
FW	Thomberry, "Interleukin-1.beta. converting enzyme," Methods in Enzymology, 244:615-631 (1994).			
FX	Villa et al., "Caspases and caspase inhibitors," TIBS, 22:388-393 (1997).			
FY	Wagner et al., ".omegafunctionalized self-assembled monolayers chemisorbed on ultraflat Au(111) surfaces for biological scanning probe microscopy in aqueous buffers," J. Vac. Sci. Technol. B, 14(2):1466-1471 (1996).			
FZ	Wagner et al., "Bioreactive self-assembled monolayers on hydrogen-passivated Si(111) as a new class of atomically flat substrates for biological scanning probe microscopy," Journal of Structural Biology, 119:189-201 (1997).			
GA	Wagner et al., "Covalent immobilization of native biomolecules onto Au(111) via N-hydroxysuccinimide ester functionalized self-assembled monolayers for scanning probe microscopy," Biophysical Journal, 70:2052-2066 (1996).			
GB	Wagner et al., "Formation and in Situ modification of monolayers chemisorbed on ultraflat template-stripped gold surfaces," Langmuir, 11(10):3867-3875 (1995).			
GC	Weiner et al. "Site-directed mutagenesis of double-stranded DNA by the polymerase chain reaction" Gene 151:119-123 (1994).			
GD	Wilson et al., "Structure and mechanism of interleukin-1.beta. converting enzyme," Nature, 370:270-275 (1994).			
GE	Wondrak et al. "Influence of flanking sequences on the dimer stability of human immunodeficiency virus type 1 protease" Biochemistry 35:12957-12962 (1996).			
GF	Wu et al. "Structural basis for specificity of retroviral proteases" Biochemistry 37:4518-4526 (1998).			
GG	Pham, et al. "Human Interleukin-2 Production in Insect (Trichoplusia ni) Larvae: Effects and Partial Control of Proteolysis", Biotechnology and Bioengineering vol. 62(2) pp. 175-182; Jan. 20, 1999.			
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